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ORIGINAL RESEARCH

Hypergeometric analysis of tiling-array and sequence data: detection and interpretation of peaks

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Correspondence: Erdogan Taskesen Delft Bioinformatics Lab (DBL), Delft University of Technology, Delft, Mekelweg 4, 2628CD, The Netherlands Tel +31 15 2785 859 Email e.taskesen@tudelft.nl Abstract: Probing protein-deoxyribonucleic acid (DNA) is gaining popularity as it sheds light on molecular mechanisms that regulate the expression of genes. Currently, tiling-arrays and next-generation sequencing technology can be used to measure these interactions. Both methods generate a signal over the genome in which contiguous regions of peaks on the genome represent the presence of an interacting molecule. Many methods do exist to identify functional regions of interest (ROIs) on the genome. However the detection of ROIs are often not an end-point in research questions and it therefore requires data dragging between tools to relate the ROIs to information present in databases, such as gene-ontology, pathway information, or enrichment of certain genomic content. We introduce hypergeometric analysis of tiling-array and sequence data (HATSEQ), a powerful tool that accurately identifies functional ROIs on the genome where a genomic signal significantly deviates from the general genome-wide behavior. HATSEQ also includes a number of built-in post-analyses with which biological meaning can be attached to the detected ROIs in terms of gene pathways and de-novo motif analysis, and provides different visualizations and statistical summaries for the detected ROIs. In addition, HATSEQ has an intuitive graphic user interface that lowers the barrier for researchers to analyze their data without the need of scripting languages. We compared the results of HATSEO against two other popular chromatin immunoprecipitation sequencing (ChIP-Seq) methods and observed overlap in the detected ROIs but HATSEQ is more specific in delineating the peak boundaries. We also discuss the versatility of HATSEQ by using a Signal Transducer and Activator of Transcription 1 (STAT1) ChIP-Seq data-set, and show that the detected ROIs are highly specific for the expected STAT1 binding motif. HATSEQ is freely available at: http://hema13.erasmusmc.nl/ index.php/HATSEQ.

Keywords: bioinformatics, NGS analysis, ChIP-Seq, peak detection

Background

Protein-deoxyribonucleic acid (DNA) interactions, such as transcription factor-DNA binding, DNA methylation, or methylation/acetylation of histone tails, can nowadays be identified with high sensitivity and specificity, using next-generation sequencing (NGS) technology. NGS rapidly replaces tiling-arrays technology because of the increased resolution with which the interactions can be measured. Both technologies generate a signal along the genome that, for instance, represents the interaction of regions with transcription factors. Typically one is interested in finding those regions in the genome where a signal significantly deviates from the overall genome-wide background signals. Previously, for tiling-array data, we developed a method called hypergeometric analysis of tiling-arrays (HAT), to detect regions of interest (ROIs). In short, HAT sets a threshold to decide whether the signal of a probe is excessive,

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Implementation HATSEQ: a statistical framework to detect regions of interest in genomic signals

HATSEQ detects ROIs in NGS data using the statistical framework as described in HAT,¹ but with read-depth at

genomic positions as an input. It is supposed that genomic positions with read-depth greater than zero may be the result of sequenced DNA pieces that were, for example, present in the immunoprecipitated chromatin sample, indicating the presence of protein-DNA binding at that particular position. To decide whether the read-depth at a genomic location is excessive, HATSEQ varies the threshold at which it considers the read-depth to be indicative for a genomic event. A sliding window approach is then used to analyze whether a significant number of excessive sequence-reads are found within the window for every threshold setting and for varying widths of the window (as the size of the event is not known a priori). For each window, a P-value is determined, defined as the probability of observing at least the number of observed reads, x, in the window (given a random distribution of reads over the genome). For any window position g, threshold level t, and window width n, P(g, t, n) is computed as:

$$P(g,t,n) = P(X \ge x | g, t, n, X \ge c) = \frac{P(X \ge x | g, t, n)}{P(X \ge c | g, t, n)}$$
[1]

where $P(X \ge x|g, t, n)$ is based on the hypergeometric distribution of drawing, on genomic position g, at least x reads that exceed the threshold t in a window of size n, and where N is a fixed parameter that represents the total number of reads that are sequenced, and K the number of reads that exceed the threshold. For each window, the P-value is restricted such that each window should contain at least c reads to prevent evaluating window positions that are not of interest.

We apply Bonferroni to correct for the number of tests performed at each threshold level, which is defined by the number of reads (*K*) that exceed the threshold (*t*) and window size *n*. The corrected *P*-values are subsequently defined by: $P^*(g, t, n)$. Due to the use of various threshold values (*t*) and window sizes (*n*), similar or partly overlapping regions are found. In order to find a single region-of-interest at the same genomic location, these overlapping regions are integrated by joining regions with one or more overlapping reads. To determine the most important part of the ROI, we introduce a read-depth significance score Q(g), which reports how often reads were part of a region for a predefined significance level (α). This score is computed as follows:

$$Q(g) = \sum_{\forall t} \sum_{\forall N} S(g,t,n) \cdot I(x(g,t,n),t)$$
[2]

where

$$S(g,t,n) = \begin{cases} 1 & \text{if} & P^*(g,t,n) \le \alpha \\ 0 & \text{else} \end{cases}$$
[3]

and

$$I(x(g,t,n)) = \begin{cases} 1 & \text{if} & x(g) \ge t \\ 0 & \text{else} \end{cases}$$
[4]

Thus, the final candidate ROIs are determined by integrating the significant window positions over all thresholds. HATSEQ is optimized for NGS data analysis by: 1) incorporating a minimum allowed read-depth to prevent the detection of systematic variation; 2) incorporating a minimum allowed region length to prevent the detection of regions that are the result of highly correlated reads; 3) normalization of the read-depth per sample such that sum of the read-depth is 1, which makes the depth of the sequenced reads comparable between experiments; 4) normalization of the read-depth by using a set of reference samples; and 5) the use of multi-threaded computations (each chromosome is separately analyzed and HATSEQ exploits the use of memory mapped files that allow the analysis of any read-depth).

HATSEQ can be applied in three types of study-designs, namely: 1) one-sample analysis where only one sample is available and sequenced; 2) multi-sample analysis, where the sequenced reads of the experimental samples can be analyzed compared to the reads of one or more negative control samples; and 3) combined ChIP-Seq and ChIP-onchip analysis where an overlap of candidate ROI between the experimental replicates can be marked.

Functionalities of HATSEQ

Data processing and region identification

HATSEQ detects ROIs from mapped sequenced reads or normalized probe intensities. For the analysis of NGS data, it processes Bam or Pileup files to detect ROIs using the read-depth at base pair position. For ChIP-on-chip data it uses preprocessed files, eg, by MAT (model-based analysis of tiling-arrays).¹¹ As an example, both NGS data and ChIPon-chip files can be loaded using the GUI and simultaneously analyzed with or without controls.

Pathway analysis

HATSEQ integrates two pathway enrichment analyses based on the genes that are selected by: 1) having a selected ROI as the closest ROI; or 2) having a detected ROI in their promoter region (the 2000 nucleotids [nt] region upstream of the transcriptional-start-site [TSS]). Pathway annotations (genesets) are extracted from the Molecular Signature Database (MSigDB).¹² The enrichment of each pathway for the selected set of genes is computed using the hypergeometric distribution and is corrected for multiple testing using False Discovery Rate (FDR)¹³ or Familywise error rate (FWER).¹⁴

Motif analysis

HATSEQ gives the opportunity to find enriched motifs in sequences derived from: 1) the detected ROIs; and 2) the promoter regions (2000 nt upstream from TSS) of the genes that have a selected ROI as closest ROI. It uses the generalized extreme value probability method,¹⁵ which detects significantly over-represented ungapped words of fixed length. It consequently outputs the over-represented sequences that are corrected for multiple testing using FDR¹³ or FWER.¹⁴ Finally, for each detected motif, the Position Weight Matrices (PWMs) are correlated with annotated PWMs from TRANSFAC (transcription factor database) and JASPAR and subsequently listed if the correlation is larger than 0.6.

Support for different species

HATSEQ supports gene-annotation (for eg, ROI geneassociations) and chromosome files for the species that are available on UCSC (<u>http://hgdownload.cse.ucsc.edu</u>). Species that are available on UCSC can be chosen using the GUI, which are then automatically downloaded, or alternatively, species can be uploaded selectively.

Statistical summaries and visualization of results

HATSEQ reports the detected ROIs, including the neighboring genes, and summary statistics, in tables. For example, one can extract the percentage of ROIs that are in close vicinity to the TSS of a gene, or the percentage of ROIs that contain a user defined motif. The genes for the detected ROIs can be visualized by the circular-graph, Circos, or as custom tracks in UCSC.

Equipment

Software

HATSEQ is a stand-alone application that is implemented in C++ and Matlab Mathworks. To run HATSEQ, an installation of Matlab or the freely available Matlab Compiler Runtime (MCR) is mandatory.

Hardware

HATSEQ runs on any x86–64 system with Microsoft (MS)-Windows, UNIX, Linux, or Mac OS, and a minimum of 4 GB of random access memory (RAM) is required. The analyzed ChIP-Seq examples in this manuscript were run on MS-Windows 7 with a 1.87 GHz central processing unit (CPU) and 4 GB RAM. The runtime, with default parameter settings, was approximately 10 minutes to detect ROIs in 1 million reads (1.87 GHz), an estimate that increases with sequence coverage.

Results and discussion Method comparison

To evaluate the performance of HATSEQ, we used two publically available ChIP-Seq data-sets (DNA binding of CCAAT enhancer binding protein alpha [C/EBPA] and trimethylation of H3 lysine 4 [H3K4me] experiment) and compared the results against two other state-of-the-art methods: MACS (version 1.42)⁴ and FindPeaks (version 4).⁵ MACS uses a dynamic Poisson distribution to detect peaks and empirically estimates the FDR for each detected peak, whereas FindPeaks assumes a triangle based distribution in which fragments have a minimum, maximum, and a user defined median size.

The first ChIP-Seq data-set contains massively parallel sequenced DNA-fragments bound by the transcription factor C/EBPA (cell-line U937, Gene Expression Omnibus [GEO] accession: GSM722423) and is used to evaluate the results for one-sample analysis. The sequencing data of this C/EBPA experiment is aligned using BWA (Burrows–Wheeler Aligner) (human genome build 19; hg19).¹⁶ To avoid the detection of peaks that are the result of technical variation, we discarded genomic positions with a read-depth smaller than ten. With

MACS we detected 50,525 ROIs, using default parameters (bandwidth of 300 nt at the 1×10^{-5} significance level). Find-Peaks detected 75,839 ROIs using the default parameters (triangle distance low =100 nt, median =200 nt, high =300 nt with minimal allowed coverage 0.001). With HATSEQ we detected 32,735 ROIs using a bandwidth (fragment size) of 300 nt, but with FWER significance level 0.05. Eighty-seven percent of the 32,735 HATSEQ ROIs (28,413 ROIs) were also detected by either of the two other methods, and 85% (27,862 ROIs) of the HATSEQ ROIs are common among all methods (Figure 1A).

Although there was a high overlap of detected ROIs between the three methods, HATSEQ better delineates the peak boundaries in the data. This can be concluded from: 1) regions detected by HATSEQ showed on average higher read-depth (HATSEQ: 30.1, MACS: 13.1, and FindPeaks: 5; Figure 2C); 2) regions detected by HATSEQ are consistently smaller in length compared to the other methods (average region length HATSEQ: 153 nt, MACS: 350 nt, and FindPeaks: 1,679 nt; Figure 2A); and 3) the read depth differences at the boundary of a region are more extreme for HATSEQ regions (Figure 2B). We illustrate in Figure 1B the superior behavior of HATSEQ for ChIP-seq data for a region on



Figure I Venn diagram and an illustration of a detected ROI for HATSEQ, MACS, and FindPeaks.

Notes: Detected regions of interest by HATSEQ, MACS, and FindPeaks are indicated in red, green, and blue, respectively. (**A**) The amount of detected ROIs for the C/EBPA experiment, and the overlap between the methods. (**B**) ROIs detected by the three methods on chromosome I (around the promoter region of *IL6R*). The top part of this panel illustrates the pileup or coverage that is determined by the sequenced reads. (**C**) The amount of detected ROIs for the H3K4me experiment and the overlap between the methods. (**D**) ROIs detected in the neighbourhood of *SDF2L1* on chromosome 22. The top panel of this figure shows a pileup of the H3K4me experiment as well as a pileup of an H3K4me background experiment (giving an indication of the amount of non-specific reads).

Abbreviations: HATSEQ, hypergeometric analysis of tiling-array and sequence data; MACS, model-based analysis for ChIP-Seq; nt, nucleotide; ROI, region of interest; H3K4me, trimethylation of H3 lysine 4; ChIP-Seq, chromatin immunoprecipitation sequencing; C/EBPA, CCAAT enhancer binding protein alpha; nt, nucleotids.



Figure 2 ROI statistics for the C/EPBA experiment.

Notes: Statistics for the detected ROIs by HATSEQ, MACS, and FindPeaks (red, green, and blue, respectively) for the C/EPBA experiment. (**A**) Boxplot illustrating the region length of the detected regions. (**B**) Average read-depth across the ROI boundaries with respect to the 5' (left panel) and 3' end (right panel). The average read-depths are calculated per nucleotide position after aligning the detected ROIs at their 5' and 3' ends, respectively. The solid line represents the alignment of the 32,735, 50,525, and 75,839 ROIs detected by HATSEQ, MACS, and FindPeaks, respectively. The dashed line represents the alignment of the 4,322, 7,866, and 33,359 ROIs that are uniquely detected by HATSEQ, MACS, and FindPeaks, respectively. The dashed line represents the alignment of the 4,322, 7,866, and 33,359 ROIs that are uniquely detected by HATSEQ, MACS, and FindPeaks, respectively. (**C**) Distribution of the average read-depth for all the detected regions using HATSEQ, MACS, and FindPeaks. **Abbreviations:** HATSEQ, hypergeometric analysis of tiling-array and sequence data; MACS, model-based analysis for ChIP-Seq; nt, nucleotide; ROI, region of interest; ChIP-Seq, chromatin immunoprecipitation sequencing; C/EPBA, CCAAT enhancer binding protein alpha; nt, nucleotids.

chromosome 1 of the C/EBPA experiment. It can clearly be seen that HATSEQ most accurately detects the three regions of interest, among a region close to the TSS of *IL6R* which is a known target of C/EBPA.¹⁷ Remarkably, FindPeaks detects one large region of interest, and MACS overshoots the boundaries of the three regions. Among the 4,322 ROIs that were solely detected by HATSEQ, we detected ROIs that were in close proximity of known target C/EBPA genes, such as *CD7*¹⁸ and *ACSL*.¹⁹

The second analysis involved sequence data from an H3K4me ChIP-Seq experiment (cell-line K562, data available from University of Washington) in which functional loci based on the chromatin signatures can be identified, ie, H3K4me peaks at the promoter of active genes.²⁰ These histone marks are known to generate a bimodal distribution of the signal (read-depth) which is caused by the spacing between the histones that interact with the DNA.²¹ We evaluated the results of HATSEQ, MACS, and FindPeaks for the identification of H3K4me peaks by normalizing it against a control replicate. Sequence alignment was performed using BWA (hg19)¹⁶ with default parameter settings. HATSEQ detected 14,616 statistically significant regions of interest, MACS: 10,694, and FindPeaks: 9,471 (Figure 1C) by comparing the input versus the negative control.

The regions detected by HATSEQ that overlap with either of the two other methods (9,286 ROIs, 63.5%) again showed that HATSEQ better delineates the peaks, although less pronounced, as in the previous experiment: 1) the HATSEQ regions have higher read-depths (average read-depth: HATSEQ: 16.1, MACS: 15.5, and FindPeaks: 10.5; Figure 3C); 2) HATSEQ regions are smaller in length (average region length: HATSEQ: 1,096 nt, MACS: 1,751 nt, and FindPeaks: 4,297 nt; Figure 3A), and 3) the difference of read-depth at the border of the region is



Figure 3 ROI statistics for the H3K4me experiment.

Notes: Statistics for the detected ROIs by HATSEQ, MACS and FindPeaks (red, green, and blue, respectively) for the H3K4me experiment. (A) Boxplot illustrating the region length of the detected regions. (B) Average read-depth across the ROI boundaries with respect to the 5' (left panel) and 3' end (right panel). The average read-depths are calculated per nucleotide position after aligning the detected ROIs at their 5' and 3' ends, respectively. The solid line represents the alignment of the 14,616, 10,694, and 9,471 ROIs detected by HATSEQ, MACS, and FindPeaks, respectively. The dashed line represents the alignment of the 5,330, 1,305, and 601 ROIs that are uniquely detected by HATSEQ, MACS, and FindPeaks, respectively. The dashed line represents the alignment of the 5,330, 1,305, and 601 ROIs that are uniquely detected by HATSEQ, MACS, and FindPeaks, respectively. (C) Distribution of the average read-depth for all the detected regions using HATSEQ, MACS, and FindPeaks. **Abbreviations:** HATSEQ, hypergeometric analysis of tiling-array and sequence data; MACS, model-based analysis for ChIP-Seq; nt, nucleotide; ROI, region of interest; H3K4me, trimethylation of H3 lysine 4; ChIP-Seq, chromatin immunoprecipitation sequencing; nt, nucleotids.

much more pronounced for HATSEQ regions (Figure 3B). Figure 1D illustrates a region on chromosome 22 in close proximity of *SDF2L1*. Clearly, HATSEQ delineates the boundaries of the peak region best. To assess the validity of the detected regions by HATSEQ, we tested the 14,616 ROIs for bimodality using the statistical dip test of unimodality.²² A significant bimodal distribution (FDR ≤ 0.05) was detected in 12,897 ROIs (88.2%). This illustrates that the large majority of detected ROIs contains the expected bimodal distribution.

Taken together, HATSEQ showed better performance in delineating peak boundaries for the detected ROIs when compared to other ChIP-Seq methodologies, such as MACS and FindPeaks. For each method we used the default settings, although transcription factor binding and histone modifications can differ substantially in their properties (eg, length of the region) yet specifying the optimal parameters in an unbiased way is difficult. We also tested whether HATSEQ can also detect ROIs in genomic areas with low-read depth by re-analyzing the C/EBPA ChIP-seq data set without removing any genomic positions with read-depth smaller than ten. We detected 42,046 significant regions (instead of 32,735 ROIs) which clearly illustrates the capability of HATSEQ to detect ROIs in low-read depth genomic areas. Note that applications of HATSEQ are not limited to the presented NGS ChIP data but can be applied to other types of data, such as MeDIP-seq,²³ DNase-seq (DNase I hypersensitive sites sequencing),²⁴ and MBD-seq (methyl-CpG binding domain protein sequencing).²⁵

A case study with HATSEQ

To illustrate the functionalities of HATSEQ, we used a publicly available ChIP-Seq data-set (GEO accession: GSE15353) where the DNA-fragments bound by the transcription factor STAT1 (Signal Transducer and Activator of Transcription 1)²⁶ were massively parallel sequenced. For transcription factor



Figure 4 HATSEQ results for the STATI case study.

Notes: The HATSEQ results of the STAT1 experiments using IFN- γ stimulated human HeLa S3 cells compared to seven unstimulated human HeLa S3 cells. (**A**) Bar graph plot that illustrates an ROI that is detected in the promoter of STAT3, and seen across six experiments. The blue bars depict the total number of reads per base pair position, indicated by the left y-axis. The red line illustrates the read-depth significance score Q(g), which reports how often reads were part of the statistically significant region, indicated by the right y-axis. The green bar illustrates the binding site of the expected STAT1 motif. (**C**) The top enriched motifs, among the 511 ROIs detected across two or more replicates. (**D**) Circos plot illustrating the genes, for which the closest detected ROI is detected among two or more experiments. A line connects selected genes, based on the chromosomal location with the number of experiments that an ROI is detected in. The colors indicate the chromosomal location of the genes. (**E**) Pathway analysis illustrates the enrichment for curated gene-sets, gene ontology and positional gene-sets (with a maximum of ten gene-sets in each category). **Abbreviations:** HATSEQ, hypergeometric analysis of tiling-array and sequence data; IFN, interferon; ROI, region of interest; UCSC, University of California, Santa Cruz; STAT1, Signal Transducer and Activator of Transcription I.

STAT1, it has been described that it binds to STAT motifs,²⁷ and a well-known target gene is the STAT3 gene.²⁸ We compared data obtained from six interferon- γ (IFN- γ) stimulated HeLa S3 cells and compared those to seven unstimulated human HeLa S3 cells. After the alignment using BWA,16 we detected in total 2,502 ROIs with HATSEQ (sizes between 11 nt and 669 nt, median: 81 nt) using default parameter settings (α ≤ 0.05 and read-depth ≥ 10). These ROIs showed significant binding in the stimulated cells but not in the unstimulated cells, which were subsequently investigated using HATSEQ's motif analysis. Thus, from the design of the experiment, it is expected that the detected ROIs should contain STAT binding sites. The detected motifs, among the sequences of the 2,502 ROIs correspond to the STAT1 motif according to our results (*P*-value $< 9.1 \times 10^{-6}$), and also according to MEME (Multiple EM for Motif Elicitation)²⁹ and TOMTOM.³⁰ The 2,502 detected ROIs are annotated with 914 unique genes. These 914 genes included the STAT3 gene, which was associated with one of the most significantly detected ROI. This ROI was also strongly enriched for the STAT1 motif sequence (*P*-value $<2.13 \times 10^{-177}$, Figure 4A and B). However, not all detected ROIs contained the STAT binding site. Therefore we searched for ROIs that were detected across two or more replicates. We found 511 ROIs that were consistently detected, ie, in two or more replicates (Figure 4D). The HATSEQ motif analyses on these 511 consistently detected ROIs showed a strong enrichment for the STAT binding site (Figure 4C), and it was seen in 88% of these ROIs. In addition, using HATSEQ we found 47 enriched MSigDB pathways for these 511 ROIs including a pathway that involve STAT3 and its targets (Figure 4E).

Conclusion

In this study we present HATSEQ, a tool to analyze both tiling-array and NGS data. We applied HATSEQ to analyze a STAT1 ChIP-Sequence experiment and detected ROIs that were enriched for the STAT1 motif. In addition, we detected unknown as well as previously reported direct target genes of STAT1: *STAT2*,³¹ *STAT3*,²⁸ *IRF1*,³² *IL-27*,³³ *PTK2*,³⁴ and *IFNAR2*.³⁵ HATSEQ can be used for single sample analysis or with a set of reference samples whereas the expected regions of interest can be of any size. We showed for both the C/EBPA and H3K4me ChIP-Seq experiments that HATSEQ better delineates the peak boundaries. HATSEQ is a powerful tool with an intuitive GUI that lowers the barrier for researchers to detect regions of these detected regions to enhance their functional role.

Availability

The HATSEQ program is freely available on <u>http://</u> <u>hema13.erasmusmc.nl/index.php/HATSEQ</u> or <u>http://www.</u> <u>erasmusmc.nl/hematologie/</u>. The required Matlab Compiler Runtime (MCR) executable is provided.

Author contributions

ET designed and developed the software, analyzed and interpreted the data, and drafted the manuscript. ET, RD, and MJTR participated in the design of the study and contributed to the writing of the paper. RH implemented an enhanced version of the back-end and contributed to writing of the manuscript. All authors provided relevant input at different stages of the project, and read and approved the final manuscript.

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Disclosure

The authors report no conflicts of interest in this work.

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